

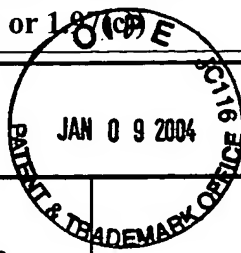
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

112857-431

In Re Application Of: Okuyama et al.



Serial No.

10/657,467

Filing Date

September 8, 2003

Examiner

Unknown

Group Art Unit

2811

Title: SEMICONDUCTOR LIGHT EMITTING DEVICE AND FABRICATION METHOD THEREOF INTEGRAL TYPE SEMICONDUCTOR LIGHT EMITTING UNIT AND FABRICATION METHOD THEREOF, IMAGE DISPLAY UNIT AND FABRICATION METHOD THEREOF, AND ILLUMINATING UNIT AND FABRICATION METHOD ...

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112857-431

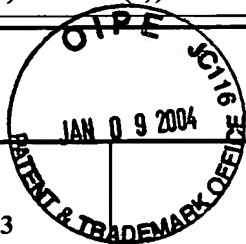
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September 8, 2003

Examiner  
Unknown

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2811



**SEMICONDUCTOR LIGHT EMITTING DEVICE AND FABRICATION METHOD THEREOF  
TYPE SEMICONDUCTOR LIGHT EMITTING UNIT AND FABRICATION METHOD THEREOF, IMAGE  
UNIT AND FABRICATION METHOD THEREOF, AND ILLUMINATING UNIT AND FABRICATION**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 01-06-2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Karin Barrett

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Dated: January 6, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Okuyama et al.  
Appl. No.: 10/657,467  
Conf. No.: 9821  
Filed: September 8, 2003  
Title: SEMICONDUCTOR LIGHT EMITTING DEVICE AND FABRICATION METHOD  
THEREOF INTEGRAL TYPE SEMICONDUCTOR LIGHT EMITTING UNIT AND  
FABRICATION METHOD THEREOF, IMAGE DISPLAY UNIT AND  
FABRICATION METHOD THEREOF, AND ILLUMINATING UNIT AND  
FABRICATION METHOD THEREOF  
Art Unit: 2811  
Examiner: Unknown  
Docket No.: 112857-431

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

**FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
WO 02/07231 A1	January 24, 2002	PCT

Applicants look forward to early and favorable consideration of this matter.

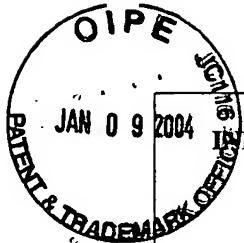
Respectfully submitted,

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**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No.

112857-431

Application No.

10/657,467

Applicant

Okuyama et al.

Filing Date

Sept. 8, 2003

Group

2811

**U.S. PATENT DOCUMENTS**

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate

**FOREIGN PATENT DOCUMENTS**

Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	WO 02/07231	01-24-2002	PCT				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner's Initials	

Examiner:

Date Considered:

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.